As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) \_ is attached hereto or  $\underline{X}$  was filed on  $\underline{May 25, 2001}$  as Application Serial No.  $\underline{09/866,028}$  and was amended on \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Cede of Federal Regulations, Section 1.56.

Cede of Federal Re	gulations, Section	1.56.		
inventor's certificate	listed below and ha	nder Title 35, United S we also identified belo n on which priority is c	States Code, §119(a)-(d) of any foreign application(s) for power any foreign application for patent or inventor's certificate claimed:	patent or e have a
Prior Foreign Applic	eation(s):		Priority Cl. Yes	<u>aimed</u> No
Number	Country	Day/Month/	Year Filed	<del></del>
See attached A Application Ser. No	ppendix A (listi	ing 18 U.S. Provis Filing Date	119(e) of any United States provisional applications(s) listesional Patent Applications)	
as the subject matte provided by the first defined in Title 37, 0 national or PCT into	er of each of the clain paragraph of Title 3 Dode of Federal Re ernational filing dat	ms of this application is 85, United States Code egulations, §1.56 which e of this application:	§120 of any United States applications(s) listed below and some some some some some some some some	nation as
		ting 19 U.S. and F	PCT Patent Applications)	
Application Ser. No	).	Filing Date	Status: Patented, Pending, Abandoned	
Application Ser. No	).	Filing Date	Status: Patented, Pending, Abandoned	

POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

09157
PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

South San Francisco, CA 94080

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

Thereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Inventor's signature	Date
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Citizenship US	
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William I. Wood	Date
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#### APPENDIX A

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

```
60/067,411 filed December 3, 1997;
60/069,334 filed December 11, 1997;
60/069335 filed December 11, 1997;
60/069,278 filed December 11, 1997;
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 60,070,440 filed January 5, 1998;
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 60/074,092 filed February 9, 1998;
 60/075,945 filed February 25, 1998;
  60/112,850 filed December 16, 1998;
  60/113,296 filed December 22, 1998;
  60/146,222 filed July 28, 1999.
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#### **APPENDIX B**

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and PCT patent applications listed below:

PCT/US98/19330 filed September 16, 1998;

PCT/US98/25108 filed December 1, 1998;

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PCT/US99/21090 filed September 15, 1999;

PCT/US99/28409 filed November 30, 1999;

PCT/US99/28313 filed November 30, 1999;

PET/US99/28301 filed December1, 1999;

PCT/US99/30095 filed December 16, 1999;

PCT/US00/03565 filed February 11, 2000;

PCT/US00/04414 filed February 22, 2000;

PCT/US00/05841 filed March 2, 2000;

PCT/US00/08439 filed March 30, 2000;

P€T/US00/14042 filed May 22, 2000;

PCT/US00/20710 filed July 28, 2000;

PCT/US00/32678 filed December 1, 2000;

PCT/US01/06520 filed February 28, 2001.

## COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

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inventor's certificate	e listed below and ha	nder Title 35, United St we also identified below n on which priority is cla	ates Code, §119(a)-(d) of any foreign app v any foreign application for patent or inver aimed:	lication(s) for patent or ntor's certificate have a
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as the subject matter provided by the first defined in Title 37,	er of each of the clai tparagraph of Title 3 Code of Federal Re	ms of this application is	not disclosed in the prior United States ap §112, I acknowledge the duty to disclose a occurred between the filing date of the p	material information as
See Attached A	Appendix B (list	ting 19 U.S. and P	CT Patent Applications)	Ahandanad
Application Ser. No	0.	Filing Date	Status: Patented, Pending,	Apandoned
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Full name of seventh inventor	
Audrey Goddard	Date
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#### Full name f eighth joint inventor, if any

#### Paul J. Godowski

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Inventor's signature	Date
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Full name of eleventh joint inventor, if any	
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Inventor's signature	Date
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## Full nam of twelfth joint inventor, if any

ar J. Kljavin	Date	
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ull name of thirteenth inventor		
Mary A. Napier	Date	
nventor's signature		
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Margaret Ann Roy	Date	
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Residence 2960 Webster Street #4, San Francisco, California 94123		
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Daniel Tumas	Date	
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Residence 3 Rae Avenue, Orinda, California 94563		
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# Full name of sixteenth inventor William I. Wood Inventor's signature Residence 35 Southdown Court, Hillsborough, California 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

O9943851.C83001

#### **APPENDIX A**

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#### APPENDIX B

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See Attached Appendix B (listing 19 U.S. and PCT Patent Applications)

Application Ser. No. Filing Date Status: Patented, Pending, Abandoned

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Page 4 Full name feighth joint inventor, if any Paul J. Godowski Date Inventor's sign Resid nce 2627 Easton Drive, Burlingame, California 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any J. Christopher Grimaldi 7001 Inventoris signature Residence Avenue, San Francisco, California 94122 1434 36<sup>th</sup> Citizenship υġ Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth inventor Austin L. Gumey Inventor's signature Residence l-Debbie Lane, Belmont, California 94002 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any Kenneth J. Hillan Date 1710 Inventor's signature Residence

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PCT/US00/05841 filed March 2, 2000;

PCT/US00/08439 filed March 30, 2000;

P**©T/US00/14042** filed May 22, 2000;

PET/US00/20710 filed July 28, 2000;

PCT/US00/32678 filed December 1, 2000;

PCT/US01/06520 filed February 28, 2001.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

# SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one)  $\underline{\phantom{a}}$  is attached hereto or  $\underline{\underline{X}}$  was filed on  $\underline{\underline{May 25, 2001}}$  as Application Serial No.  $\underline{\underline{09/866,028}}$ and was amended on \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37,

Code of Federal Regulations, Section 1.56. I fiereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed: Priority Claimed Paor Foreign Application(s): No Yes\_ U Day/Month/Year Filed Country Number I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: See attached Appendix A (listing 18 U.S. Provisional Patent Applications) Filing Date Application Ser. No. I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: See Attached Appendix B (listing 19 U.S. and PCT Patent Applications) Status: Patented, Pending, Abandoned Filing Date Application Ser. No. Status: Patented, Pending, Abandoned Filing Date Application Ser. No.

POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

South San Francisco, CA 94080

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as I ne undersigned nereby authorizes the U.S. attorney or agent named nerein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named

intor's signature  intorial Run Drive, Darnestown, Maryland 20878  intorial Ru	or agent and the undersigned. In this or agent and the undersigned. herein will be so notified by the undersigned.	
stence  06 Indian Run Drive, Darnestown, Maryland 20878  172	name of sole or first inventor	
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ogt Office Address  SNA Way South San Francisco, CA 94080  Ultriame of second joint inventor, if any David Botstein  Neretor's signature  Residence 2539 Somerset Drive, Belmont, California 94002  Citizenship  US  Full name of third joint inventor, if any Dan L. Eaton  Inventor's signature  Passidence 75 Knight Drive, San Rafael, California 94901  Citizenship  Citizenship  Citizenship  Date		
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Residence 75 Knight Drive, San Rafael, California 94901  Citizenship		Dave
75 Knight Drive, San Rafael, California 94901  Citizenship	Inventor's signature	
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Christopher Grimaldi	Date
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Invantor's signature	
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Inventor's signature	
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

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I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

```
60/067,411 filed December 3, 1997;
60/069,334 filed December 11, 1997;
60/069335 filed December 11, 1997;
60/069,278 filed December 11, 1997;
60/069,425 filed December 12, 1997;
60/069,696 filed December 16, 1997;
 60/069,694 filed December 16, 1997;
 60/069,702 filed December 16, 1997;
 60±069,870 filed December 17, 1997;
 60/069,873 filed December 17, 1997;
 60,068,017 filed December 18, 1997;
  60/070,440 filed January 5, 1998;
  60/074,086 filed February 9, 1998;
  60/074,092 filed February 9, 1998;
  607075,945 filed February 25, 1998;
  60,112,850 filed December 16, 1998;
   60/113,296 filed December 22, 1998;
   60/146,222 filed July 28, 1999.
```

#### APPENDIX B

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and PCT patent applications listed below:

PCT/US98/19330 filed September 16, 1998;

PCT/US98/25108 filed December 1, 1998;

09/216,021 filed December 16, 1998;

09/218,517 filed December 22, 1998;

09/254,311 filed March 3, 1999;

PCT/US99/12252 filed June 2, 1999;

PCT/US99/21090 filed September 15, 1999;

PCT/US99/28409 filed November 30, 1999;

PCT/US99/28313 filed November 30, 1999;

P@T/US99/28301 filed December1, 1999;

PQT/US99/30095 filed December 16, 1999;

PGT/US00/03565 filed February 11, 2000;

PCT/US00/04414 filed February 22, 2000;

PCT/US00/05841 filed March 2, 2000;

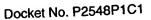
PCT/US00/08439 filed March 30, 2000;

P€T/US00/14042 filed May 22, 2000;

PCT/US00/20710 filed July 28, 2000;

PCT/US00/32678 filed December 1, 2000;

PCT/US01/06520 filed February 28, 2001.





As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

# SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one)  $_{\rm L}$  is attached hereto or  $_{\rm L}$  was filed on  $_{\rm L}$  as Application Serial No.  $_{\rm L}$  09/866,028 and was amended on  $_{\rm L}$  (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

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I hereby claim fore	ign priority benefits ui	nder Title 35, United Sove also identified below	w any foreign	application	on for patent or inv	entors ceruito	alenavea
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POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

09157

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

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or agent and the undersigned. In the event of a change in the person herein will be so notified by the undersigned.	
name of sole or first inventor	
eyin P. Baker	Date
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entor's signature	
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ud J. Godowski	Date
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ull name of ninth joint inventor, if any	
. Christopher Grimaldi	Date
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K nneth J. Hillan	Date
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1 DNA Way South San Francisco, CA 94080 Full name of fourteenth joint inventor, if any Margaret Ann Roy Date Inventor's signature Residence 2960 Webster Street #4, San Francisco, California 94123 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifteenth joint inventor, if any Daniel Tumas Date Inventor's signature Residence 3 Rae Avenue, Orinda, California 94563 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

Full nam	of sixteenth	invento

William I. Wood	Date	
Inventor's signature		
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Citizenship US		
Post Office Address 1 DNA Way South San Francisco, CA 94080		

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### APPENDIX A

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

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60/067,411 filed December 3, 1997;
60/069,334 filed December 11, 1997;
60/069335 filed December 11, 1997;
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60/069,425 filed December 12, 1997;
60/069,696 filed December 16, 1997;
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 60/069,873 filed December 17, 1997;
 607068,017 filed December 18, 1997;
 60,070,440 filed January 5, 1998;
 60.074,086 filed February 9, 1998;
 60/074,092 filed February 9, 1998;
 60,75,945 filed February 25, 1998;
 607112,850 filed December 16, 1998;
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I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as

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imended by any an		ovamination of this application in accordance with Title 37,
	nformation which is material to the	e examination of this application in accordance with Title 37,
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Thereby claim the benefit under 11	le 35, Officed States 5 5 17, 5	
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POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

1 DNA Way

South San Francisco, CA 94080

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named berein will be so notified by the undersigned.

or agent and the undersigned. If the event of a charge herein will be so notified by the undersigned.	
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I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

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60/067,411 filed December 3, 1997;
60/069,334 filed December 11, 1997;
60/069335 filed December 11, 1997;
60/069,278 filed December 11, 1997;
60/069,425 filed December 12, 1997;
60/069,696 filed December 16, 1997;
 60/069,694 filed December 16, 1997;
 60/069,702 filed December 16, 1997;
 607069,870 filed December 17, 1997;
 600069,873 filed December 17, 1997;
 60/068,017 filed December 18, 1997;
  607070,440 filed January 5, 1998;
  60/074,086 filed February 9, 1998;
  60/074,092 filed February 9, 1998;
  607075,945 filed February 25, 1998;
  60/112,850 filed December 16, 1998;
  60/113,296 filed December 22, 1998;
  60/146,222 filed July 28, 1999.
```

### APPENDIX B

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and PCT patent applications listed below:

PCT/US98/19330 filed September 16, 1998; PCT/US98/25108 filed December 1, 1998; 09/216,021 filed December 16, 1998; 09/218,517 filed December 22, 1998; 09/254,311 filed March 3, 1999; PCT/US99/12252 filed June 2, 1999; PCT/US99/21090 filed September 15, 1999; PCT/US99/28409 filed November 30, 1999; PCT/US99/28313 filed November 30, 1999; PCT/US99/28301 filed December1, 1999; PCT/US99/30095 filed December 16, 1999; PCT/US00/03565 filed February 11, 2000; PCT/US00/04414 filed February 22, 2000; PCT/US00/05841 filed March 2, 2000; PCT/US00/08439 filed March 30, 2000; PET/US00/14042 filed May 22, 2000; PCT/US00/20710 filed July 28, 2000;

PCT/US00/32678 filed December 1, 2000;

PCT/US01/06520 filed February 28, 2001.



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Group Art Unit: Unknown

Kevin P. Baker, et al. Examiner: Unknown

Serial No.: Filed herewith

Filed: Filed herewith

For: Secreted and Transmembrane

Polypeptides and Nucleic Acids

Encoding the Same

## ASSOCIATE POWER OF ATTORNEY (37 CFR 1.34) AND CHANGE OF CORRESPONDENCE ADDRESS

**BOX: Patent Application** 

**Assistant Commissioner of Patents** 

Washington, D.C. 20231

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Please recognize as Associate Attorney in this case:

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Date: August <u>35</u>, 2001

PATENT TRADEMARK OFFICE